# NOTICE OF COMPLIANCE WITH STATE BAR BYLAWS
# ARTICLE III (A). FINANCIAL RESPONSIBILITY DISCLOSURE

The By-Laws of The West Virginia State Bar require annual disclosure about the financial responsibility for professional liability claims of each active lawyer admitted to practice law in West Virginia.

**1.** Are you exempt from the provisions of this Rule because you are engaged in the practice of law as a full-time government lawyer or in-house counsel and do not represent clients outside that capacity?
☐ **Yes** ☐ **No**

If your answer to the above question is no, please answer the following questions:

**2.** I am engaged in the private practice of law and represent clients? ☐ **Yes** ☐ **No**

**3.** I am currently covered by professional liability insurance with limits of not less than $100,000 per claim and $300,000 policy aggregate covering generally insurable acts, errors and omissions occurring in the practice of law, other than the extended reporting endorsement. ☐ **Yes** ☐ **No**

If your answer to the above question is yes, please provide the following information:

Insurance Provider Name _____

Insurance Provider Address: _____

Insurance Policy Number: _____

**4.** If your answer to question number 3 was NO, advise whether you have another form of adequate financial responsibility/funds available in the form of a deposit in a financial institution of cash, bank certificates of deposit or United States Treasury obligation, a bank letter of credit or a surety or insurance company bond to cover errors and omissions occurring in the practice of law in an amount not less than $100,000 to satisfy any liability arising from such errors or omissions and describe with reasonable particularity the funds.

_____
_____
_____

**5.** Do you have any unsatisfied final judgment(s) after appeal against either you or any firm or professional corporation in which you have practiced, for acts, errors or omissions including, but not limited to, acts of dishonesty, fraud or intentional wrongdoing arising out of the performance of legal services?
☐ **Yes** ☐ **No**

**6.** If your answer to the above question is YES, please provide below information including the date, amount and court where the judgment(s) was rendered.

_____
_____
_____

Name (please print) _____

**By signing this notice, I am verifying the accuracy of the information provided.**

_____
Signature WVSB ID # DATE

All active members of The West Virginia State Bar must complete, sign, and return this FRD Notice to the WV State Bar office. Your notice must be postmarked by **September 1, 2015.** If your notice is not postmarked on or before **September 1, 2015** a **$25 late filing fee** will attach to your account. **Failure to comply with this Notice will result in an automatic suspension from active membership in the State Bar. In addition to the $25.00 penalty authorized by Article III (A) Section 4, a penalty of $75.00 shall be added to the fees owed by all members who are suspended for the financial responsibility disclosure non-compliance.**